MBFM 4004

M.B.A. DEGREE EXAMINATION,
DECEMBER 2014/JANUARY 2015.

Fourth Semester

Finance

SECURITY MARKET OPERATIONS

Time: Three hours
Maximum: 100 marks

PART A — (5 × 6 = 30 marks)
Answer any FIVE questions out of the following:

1. What are the powers and functions of SEBI?
2. What are the key factors affecting FIIs?
3. Explain the constituents of Indian capital market?
4. Explain the SEBI guidelines for Stock Option or Employees Stock Option Scheme (ESOP).
5. What are the functions of Stock Exchange?
6. Explain the procedure of Registration of custodian of services inspection under SEBI (Custodian of Securities and Regulation) Act 1996.
17. Case Study

A Public company is currently trading at a

Compulsory

PART C — (1 × 20 = 20 marks)

PART B — (6 × 10 = 60 marks)

7. Explain the features of stock index futures.

8. What are the obligations and responsibilities of
Exchanges?

9. Explain the SEBI Guidelines for Foreign
Institutional Investors (FII).

10. Explain the various methods being adopted by
Institute (ICRA).

11. What are the various debt instruments in
International Financial markets?

12. Explain mechanisms of security trading in stock
exchanges.

13. What is the procedure for action in case of default?

14. What are the obligations and responsibilities of

15. Explain the Methodology for Credit Rating.

16. Explain the procedure for action in case of default.

from the capital market to raise the necessary capital.
What options do you advise the company’s
management to consider?

EBITDA. Why believe they put double the company’s
earnings in order to raise money to fund a project,
and arrange an independent valuation?

PART A — (1 × 10 = 10 marks)